

10/506486

Rec'd PCT/PTO 02 SEP 2004

**Express Mail Label Number ER654099424US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Klaus POELLMANN, et al. : Attorney Docket: 2002DE408  
Serial No.: to be Assigned :  
Filed: September 2, 2004 :  
For: Thermally Stable Polyalkylene Glycols as Lubricants for Refrigerators

**Transmittal Letter**  
**Notification of Amendments Under PCT Article 34**

Mail Stop:  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note that the attached pages were filed with the European Patent Office. We enclose an English translation of the claims for your convenience.

Applicant respectfully requests submission of these pages before examination of the application and before entry of the Preliminary Amendment.

Respectfully submitted,

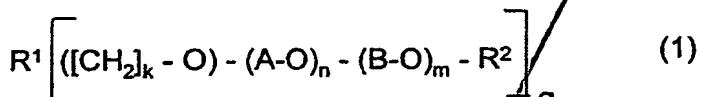
  
Anthony A. Bisulca  
Attorney for Applicant  
Registration No. 40,913

**(CUSTOMER NUMBER 25,255)**

Clariant Corporation  
Industrial Property Department  
4000 Monroe Road  
Charlotte, NC 28205  
Phone 704 331-7151  
Fax 704 331-7707

What is claimed is:

1. The use of compounds of the formula 1



5

where

$R^1$  is an aromatic radical having from 6 to 18 carbon atoms

$R^2$  is hydrogen, C<sub>1</sub>- to C<sub>18</sub>-alkyl or C<sub>6</sub>- to C<sub>18</sub>-aryl

10 A is an ethylene radical

B is an isopropylene radical

k is zero, 1 or 2

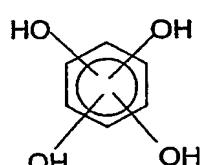
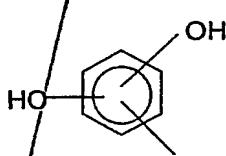
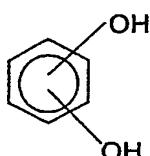
(n+m) is a number from 3 to 20, where n is at least 1, and

q is 2, 3 or 4,

15 and where, when m and n are both greater than zero, the sequence of ethylene and propylene units is random

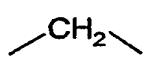
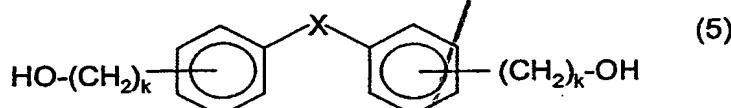
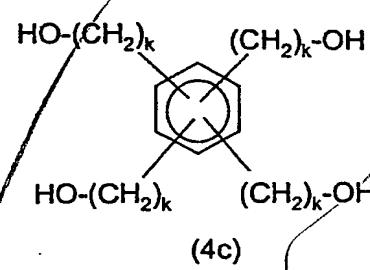
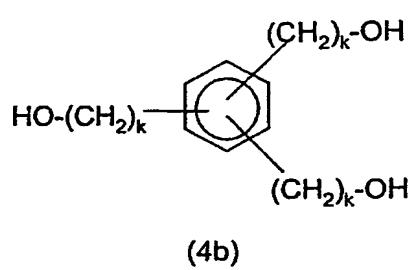
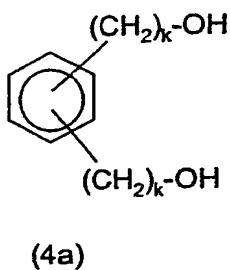
as a base oil for formulating lubricants.

20 2. The use as claimed in claim 1, wherein  $R^1$  may be compounds of the formulae



REPLACED BY  
AND 34 AND 35

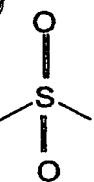
11



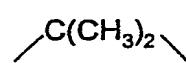
(6a)



(6b)

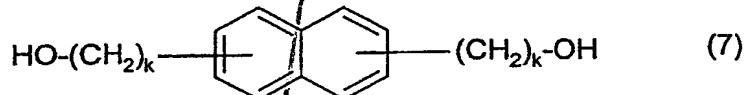


(6c)



(6d)

5 or R<sup>1</sup> is a compound of the formula



10 in which each of the -OH or -(CH2)k-OH substituents may be at any  
15 position on the particular ring, and the substituents of the formula -(CH2)k-  
OH may occur once or twice on each of the aromatic rings.

3. The use as claimed in claim 1 and/or 2, wherein R<sup>1</sup> is derived from resorcinol (1,3-dihydroxybenzene) or pyrogallol (1,2,3-trihydroxybenzene).

15

4. The use as claimed in one or more of claims 1 to 3, wherein the sum (m+n) is from 2 to 9.

20 5. The use as claimed in one or more of claims 1 to 4, wherein R<sup>2</sup> is an alkyl radical having from 1 to 12 carbon atoms.

REPLACED BY  
AS1 24 AUG 2017

6. The use as claimed in one or more of claims 1 to 5, wherein m is zero.
7. The use as claimed in one or more of claims 1 to 6, wherein k is  
5 zero.
8. A compound of the formula I where R<sup>2</sup> is a C<sub>1</sub>- to C<sub>18</sub>-alkyl or C<sub>6</sub>- to C<sub>18</sub>-aryl group.
- 10 9. A refrigerant for refrigerating machines, heat pumps and related units, for instance air conditioning units, which contains between 80 and 100% by weight of a compound of the formula 1.

REPLACED BY  
AS1 34 ASMT